



# Whistleblowing Policy (confidential reporting)

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1.0	Draft	9.6.16	G Thomas	Circulated to Senior Leadership Team for comment
1.1	Draft	25.7.16	G. Thomas	Revised title of the policy to Confidential reporting
1.2	Draft	8.8.16	G. Thomas	Revised following minor comments from Stone King.
1.3	Final	24.11.16	G. Thomas	Approval by MKET Board of Trustees.
1.4	Final	23 08 17	MEC	Whistleblowing added to title page and file name changed
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## 1. INTRODUCTION

The Trust is committed to conducting its business with honesty and integrity and expects all staff to maintain high standards in accordance with the Code of Conduct. However, all organisations face the risk of things going wrong from time to time, or of unknowingly harbouring illegal or unethical conduct. A culture of openness and accountability is essential in order to prevent such situations from occurring and to address them when they do occur.

## 2. AIMS

The aims of this policy are:

To encourage staff to report suspected wrongdoing as soon as possible, with the knowledge that their concerns will be taken seriously and investigated as appropriate, and that their confidentiality will be respected.

To provide staff with guidance as to how to raise those concerns.

To reassure staff that they should be able to raise genuine concerns without fear of reprisals, even if they turn out to be mistaken.

This policy covers all employees of the Trust, contractors, volunteers, casual and agency workers.

This policy does not form part of any employee's contract of employment, and it may be amended at any time.

## 3. PERSONNEL RESPONSIBLE FOR THE POLICY

The MKET Board of Trustees has overall responsibility for this policy, and for reviewing the effectiveness of actions taken in response to concerns raised under this policy.

The Senior Leadership Team has day-to-day operational responsibility for this policy and must ensure that all managers and other staff who may deal with concerns or investigations under this policy receive regular and appropriate training.

The HR Manager should review this policy from a legal and operational perspective.

All staff are responsible for the success of this policy and should ensure that they use it to disclose any suspected danger or wrongdoing.

## 4. WHAT IS WHISTLEBLOWING?

**Whistleblowing** is the disclosure of information which relates to suspected wrongdoing or dangers at work. This may include:

- criminal activity;
  - failure to comply with any legal [or professional] obligation or regulatory requirements;
  - miscarriages of justice;
  - danger to health and safety;
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- damage to the environment;
- bribery [under our Anti-corruption and Bribery Policy]
- financial fraud or mismanagement;
- negligence
- breach of our internal policies and procedures [including our Code of Conduct];
- conduct likely to damage our reputation;
- unauthorised disclosure of confidential information;
- the deliberate concealment of any of the above matters.

A **whistleblower** is a person who raises a genuine concern relating to any of the above. If you have any genuine concerns related to suspected wrongdoing or danger affecting any of our activities (a **whistleblowing concern**) you should report it under this policy.

This policy should not be used for complaints relating to your own personal circumstances, such as the way you have been treated at work. In those cases, you should use the Grievance Procedure or Anti-harassment and Bullying Policy as appropriate.

If you are unsure if something is within this policy's scope, seek advice from the MKET HR Manager.

## 5. RAISING A WHISTLEBLOWING CONCERN

The Board of Trustees hope that in many cases you will be able to raise any concerns with your line manager. You may tell them in person or put the matter in writing if you prefer. They may be able to agree on a way of resolving your concern quickly and effectively. In some cases, they may refer the matter to the Executive Leadership Team or HR Manager.

However, where the matter is more serious, or you feel that your line manager has not addressed your concern, or you prefer not to raise it with them for any reason, you should contact one of the following:

HR Manager

Chief Executive Officer/ Headteacher

A meeting will be arranged as soon as possible to discuss your concern. You may bring a colleague or union representative to any meetings under this policy. Your representative must respect the confidentiality of your disclosure and any subsequent investigation.

A written summary of your concern will be taken, and you will be provided with a copy after the meeting. We will also aim to give you an indication of how we propose to deal with the matter.

## 6. CONFIDENTIALITY

We hope that staff will feel able to voice whistleblowing concerns openly under this policy. However, if you want to raise your concern confidentially, we will make every effort to keep your identity secret. If it is necessary for anyone investigating your concern to know your identity, we will discuss this with you.

We do not encourage staff to make disclosures anonymously. Proper investigation may be more difficult or impossible if we cannot obtain further information from you. It is also more difficult to establish whether any allegations are credible. Whistleblowers who are concerned about possible reprisals if their identity is revealed should come forward to the Line Manager or HR Manager in the first instance, and appropriate measures can then be taken to preserve confidentiality.

## **7. INVESTIGATION AND OUTCOME**

Once you have raised a concern, we will carry out an initial assessment to determine the scope of any investigation. We will inform you of the outcome of our assessment. You may be required to attend additional meetings in order to provide further information.

In some cases, we may appoint an investigator or team of investigators including staff with relevant experience of investigations or specialist knowledge of the subject matter. The investigator(s) may make recommendations for change to enable us to minimise the risk of future wrongdoing.

We will aim to keep you informed of the progress of the investigation and its likely timescale. However, sometimes the need for confidentiality may prevent us giving you specific details of the investigation or any disciplinary action taken as a result. You should treat any information about the investigation as confidential.

If we conclude that a whistleblower has made false allegations maliciously or with a view to personal gain, the whistleblower will be subject to disciplinary action.

## **8. IF YOU ARE NOT SATISFIED**

While we cannot always guarantee the outcome you are seeking, we will try to deal with your concern fairly and in an appropriate way. By using this policy, you can help us to achieve this.

If you are not happy with the way in which your concern has been handled, you can raise it with a member of the Executive Leadership Team in the first instance or alternatively you may contact the MKET Chair of the Board of Trustees.

## **9. EXTERNAL DISCLOSURES**

The aim of this policy is to provide an internal mechanism for reporting, investigating and remedying any wrongdoing in the workplace. In most cases you should not find it necessary to alert anyone externally.

The law recognises that in some circumstances it may be appropriate for you to report your concerns to an external body such as a regulator. It will very rarely if ever be appropriate to alert the media. We strongly encourage you to seek advice before reporting a concern to anyone external. The independent whistleblowing charity, Public Concern at Work, operates a confidential helpline. They also have a list of prescribed regulators for reporting certain types of concern. Their contact details are at the end of this policy.

Whistleblowing concerns usually relate to the conduct of our staff, but they may sometimes relate to the actions of a third party, such as a customer, supplier or service provider. In some circumstances the law will protect you if you raise the matter with the third party directly. However, we encourage you to report such concerns internally first. You should contact your line manager in the first instance.

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## 10. PROTECTION AND SUPPORT FOR WHISTLEBLOWERS

It is understandable that whistleblowers are sometimes worried about repercussions. We aim to encourage openness and will support staff who raise genuine concerns under this policy, even if they turn out to be mistaken.

Whistleblowers must not suffer any detrimental treatment as a result of raising a concern. Detrimental treatment includes dismissal, disciplinary action, threats or other unfavourable treatment connected with raising a concern. If you believe that you have suffered any such treatment, you should inform your Line Manager or MKET HR Manager immediately. If the matter is not remedied, you should raise it formally using our Grievance Procedure.

Victimisation of an employee for raising a qualified disclosure will be a disciplinary offence.

## 11. NEW HELPLINE FOR WHISTLEBLOWERS

In February 1996, the Home Office launched a child abuse whistleblowing helpline which is run by the NSPCC. The aim of this helpline is to provide advice to employees who feel unable to raise concerns about suspected child abuse internally.

School staff must be aware that this helpline is not to be used to report initial concerns about suspected child abuse. It is for individuals who are afraid to raise concerns about the way their organisation is dealing with cases of child abuse, or who feel they have exhausted all avenues with their employer directly.

Statutory guidance states that members of staff should report any concerns they may have about child abuse, and calling this helpline will not discharge this duty. School staff must continue to follow MKET'S safeguarding policies if they have a concern about a child.

Where staff have concerns which relate to the way MKET is dealing with any allegation relating to child protection, they should approach the Chief Executive Officer. However, where they feel that this approach has not been effective then they may choose to contact the child abuse whistleblowing helpline (0800 028 0285) or email [help@nspcc.org.uk](mailto:help@nspcc.org.uk)

## 12. CONTACTS

<b>Protect</b>  (Independent whistleblowing charity)	Helpline: (020) 7404 6609  Email: <a href="mailto:whistle@protect-advice.org.uk">whistle@protect-advice.org.uk</a>  Website: <a href="http://www.pcaw.co.uk">www.pcaw.co.uk</a>
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